



Cave & Sons

Stockbroking & Investments Since 1906

About Us

www.caves.co.uk



“Our service is based on the belief that financial advice should be provided by trusted advisors working solely in your best interests.”

First established back in 1906, Cave & Sons is one of the longest surviving independent stock-broking, investment management and financial advice service firms in the UK.

The firm grew successfully, initially under the watchful eye of founder, Walter Cave, developing to become a modern, forward-looking company with a multi-service offering covering the full range of financial services. Today, ‘Cave’s’ still embrace the same principles of honesty, efficiency and personal service that Walter made his hallmark.

Our methodology

We believe that the day to day management of a client’s investments and the high level of ongoing advice we provide to help achieve their long term financial plan, are separate skill sets. We have therefore ensured that we maintain teams of both highly qualified financial advisers and experienced investment managers within the firm.

Our financial advisers are expert at putting together a long term financial plan with each client and revisiting it regularly to re assess and adjust for changing needs or circumstances. For our investment managers, on the other hand, their day to day focus is on looking after clients’ portfolios, allocating between various asset classes and monitoring appropriate investments.

The significant benefit to clients of having all this expertise ‘in-house’ is that we are able to look after all our clients’ investment needs; from setting them up with the right investments to meet their current and future needs, to looking after the ‘nuts and bolts’ of the investments they hold.

Of course, some clients prefer to use individual elements of the service, such as portfolio management or advice on a particular investment or financial situation and this is easily accommodated. However, the vast majority see the benefit of combining our services to provide them with a holistic package, which gives them peace of mind, and caters for their financial needs, today, tomorrow and into the future.

“Our independence is of great importance to us.”



Our principles

Firstly, and most importantly, we believe in building long term client relationships. We always have done, and have many client families with whom we are dealing with the second, or even third generation.

The cornerstone of our professional service is the personal touch. We don't believe in faceless call-centres or automated telephone answering systems. Our clients are allocated a personal advisor, available at the end of the phone, or in person, at our office or in your home.

Our independence is also of great importance to us. It means that there is no bias and there are no external influences being brought to bear on any decision we make or piece of advice we give. Not only that, but it means that we can, and do, consider the whole breadth of the market when recommending investments or investment vehicles.

Financial advice

We provide the full range of services; from investments to pensions and savings, from protection policies to life assurance, school fees and estate planning, ensuring that whatever your stage of life, we can help you with your changing goals and objectives.

Our service is based on the belief that financial advice should be provided by trusted advisors working solely in your best interests. With no links to other financial organisations, we are completely impartial and independent, ensuring that we can provide the very best solution for your personal circumstances.

Stockbroking

If you simply wish to buy and sell shares, corporate and UK government bonds at your convenience, and would like a high quality telephone service with friendly, experienced stockbrokers, we can help.

Discretionary investment management

Appointing an investment manager to look after your investments is clearly an important decision. At Cave & Sons we have been advising private investors, trusts and charities on the construction and management of their portfolios for many years, gaining a wealth of experience to put at your disposal.

Our quite unique position in the industry means we can offer advice and recommendations across the full range of different assets, securities and investment vehicles - a truly comprehensive service.

Our highly qualified team of investment managers ensure that your portfolio meets your investment objectives and select the most appropriate investments for your individual circumstances.

Head Office: Lockgates House, Rushmills, Northampton NN4 7YB

Tel: 01604 621 421 | Fax: 01604 234 335

Cave & Sons is authorised and regulated by the Financial Conduct Authority. FCA Number 143715.
Member of the London Stock Exchange and The Wealth Management Association (WMA).



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